

Part 3 - POLITICALLY EXPOSED PERSON (PEP) DECLARATION

Account Holder Name/s: _____

(in case of joint accounts both account holders must sign this declaration)

ID No. (Include passport # or local ID card numbers): _____

I/we would like to open a trading account with Mubasher Financial Services (DIFC) Limited. I confirm having read the definition of PEP as below and confirm my status by ticking the appropriate item as per point 2 and also by responding to your questions.

1. Definition of PEP

Defined are natural persons who have been entrusted with prominent functions either in the United Arab Emirates, in a foreign country or in an international organisation such as:

- 1) Head of State or Government; Ministers, Second Ministers or Ministers of State, Members of Parliament or Nominated Members of Parliament, Director / General or Senior Politician or High-Ranking Official in the public administration, the judiciary, the armed forces or political party; Senior Executive Officer of a state-owned enterprise of national importance, Publicly-owned Companies or Entities (including Charitable Organizations, Societies or Associations);
- 2) Immediate family members of such persons as set out under (1) such as: the spouse, son / adopted son or step-son, daughter / adopted daughter or step daughter, father or step-father, mother or step-mother, brother or adopted brother or step-brother; and sister or adopted sister or step-sister.
- 3) Any natural person who have joint beneficial ownership of legal entities or legal arrangements including trusts, or any other close business relations with a person as set out under (1) & (2).
- 4) Any natural person who has sole beneficial ownership of legal entity, which is known to have been set up for the benefit de facto of the persons set out under (1) & (2).

2. Please tick as appropriate: _____

- a) ☐ I hereby declare that I am not a Politically Exposed Person as defined above.
- b) ☐ I hereby declare that I am a Politically Exposed Person and I hold / held Prominent Public Office as stated above.
- c) ☐ I hereby declare that I have / had personal or business relations with any such persons as stated above.
- d) ☐ I hereby declare that I have / had a close family likely to be involved with any such persons as stated above.

If you ticked b, c or d above, please give full details (name of person, position, domicile, contact details)

Are you related to any employee of Mubasher Financial Services (DIFC) Limited? If yes, please state name of employee and relationship.

Are you an existing Client of Mubasher Financial Services Group? If Yes, please give full details:

Account name: Account Number:

Account name: Account Number:

Entity name:

Do you hold a Power of Attorney for any other account with Mubasher Financial Services (DIFC) Limited or Mubasher Financial Services Group? If Yes, please state:

Account name: Account Number:

Account name: Account Number:

Entity name:

Accuracy of Information:

I hereby certify that the information provided herein are accurate and I undertake to provide you with any change that could affect directly or indirectly my current declaration upon the change being effective

I hereby declare that the information provided herein cancel and supersede any information I may have previously provided to Mubasher Financial Services (DIFC) Limited by virtue of any signed agreement and/or document and/or form.

Signature:

Name:

Date: